

Docket No. : 015939-17 GS04

As an inventor, I declare:

My residence address and citizenship are as stated next to my name. I believe I am the original, first and sole inventor (if only one name is listed below), or a joint inventor (if plural names are listed below) of the invention claimed in the patent application entitled:

(1) ELECTRIC SPINDLE MOTOR AND METHOD HAVING MAGNETIC STARTING/STOPPING DEVICE

the specification of which

(2) X is attached.

(3) _____ was filed on _____ as Serial No. _____ and was amended on _____ (if applicable).

I have reviewed and understand the contents of the specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 CFR § 1.56.

(4) _____ I hereby claim the benefit under Title 35, U.S.C. § 119(e) of any United States provisional application(s) listed below.

Application Serial Number

Filing Date

Application Serial Number

Filing Date

(5) _____ I claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) of the foreign application(s) for patent or inventor's certificate listed below, and I have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Claimed

200003328-2SINGAPORE14.June.2000X

Number

Country

Day/Month/Year

Yes

No

Number

Country

Day/Month/Year

Yes

No

(6) _____ I claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below, and, insofar as this application discloses and claims subject matter not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 CFR § 1.56 which occurred between the filing date of the prior application and the national or Patent Cooperation Treaty International filing date of this application:

Application Serial Number

Filing Date

Patented/Pending/Abandoned

Application Serial Number

Filing Date

Patented/Pending/Abandoned

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(7) **POWER OF ATTORNEY:** I appoint the following attorneys and agents of the law firm CHRISTIE, PARKER & HALE, LLP to prosecute this application and any international application under the Patent Cooperation Treaty based on it and to transact all business in the U.S. Patent and Trademark Office connected with either of them in accordance with instructions from the assignee of the entire interest in this application; or from the first or sole inventor named below in the event the application is not assigned; or from ALLEN & GLEDHILL in the event the power granted herein is for an application filed on behalf of a foreign attorney or agent.

Charles Berman	29,249	Michael D. Harris	26,690	Charles Rosenberg	31,464
Alan P. Block	35,450	Gary E. Lande	22,222	Guy P. Smith	20,142
Louis J. Bovasso	24,075	Douglas N. Larson	29,401	Ronald s. Tamura	43,179
Marc E. Brown	28,590	Sung Oh	45,583	Gregory B. Wood	28,133
Christopher Darrow	30,166	David J. Oldenkamp	29,421		
Michael B. Farber	32,612	Sandra m. parker	36,233		
George I. Fountain	36,374	William Poms	18,782		
Scott R. Hansen	38,486	Alan C. Rose	17,047		

DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATIONS


Docket No. : 015939-17


The authority under this Power of Attorney of each person named above shall automatically terminate and be revoked upon such person ceasing to be a member or associate of or of counsel to that law firm.

SEND CORRESPONDENCE TO: OPPENHEIMER WOLFF & DONNELLY LLP, Los Angeles, CA 90067-3024

DIRECT TELEPHONE CALLS TO: Attorney Guy Porter Smith, Esq., 310/788-5000

(8)

Full Name of First or Sole Inventor Shixin CHEN	Inventor's Signature x 	Date x 10-Oct.-2000
Residence and Post Office Address Blk 290-A, Bukit Batok Street 24, #18-87, Singapore 652290		Citizenship Singapore

Full Name of Second or Joint Inventor Qide ZHANG	Inventor's Signature x 	Date x 10-Oct.-2000
Residence and Post Office Address Blk 413, Pandan Gardens, #14-132, Singapore 600413		Citizenship Singapore

Docket No. : 015939-17 **OPPENHEIMER WOLFF & DONNELLY, LLP**
Applicant or Patentee: Shixin Chen et al. 2029 Century Park East, Suite 3800
Serial or Patent No. : To Be Assigned Los Angeles, CA 90067-3024
Filed or Issued : December 28, 2000 (310) 788-5000
Entitled : ELECTRIC SPINDLE MOTOR AND METHOD HAVING FAX (310) 788-5100
MAGNETIC STARTING/STOPPING DEVICE

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37) CFR 1.9(F) & 1.27(C) A SMALL BUSINESS CONCERN**

I hereby declare that I am

☐ the owner of the small business concern identified below:

☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: Data Storage Institute

ADDRESS OF SMALL BUSINESS CONCERN: DSI Building, 5 engineering Drive 1,
Singapore 117608

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for the purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled ELECTRIC SPINDLE MOTOR AND METHOD HAVING MAGNETIC STARTING/STOPPING DEVICE

by inventor(s) Shixin Chen and Qide Zhang

described in

☒ the specification filed herewith.

☐ application Serial No. _____, filed _____

☐ Patent No. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37

CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). ***NOTE:** Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

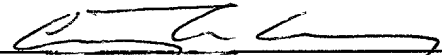
NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING ☒ A/Prof Chong Tow Chong _____TITLE OF PERSON IF OTHER THAN OWNER ☒ Director DSI _____ADDRESS OF PERSON SIGNING ☒ 5 Engineering Drive 1 _____☒ Singapore 117608 _____SIGNATURE ☒  _____ DATE ☒ 14 Nov 2000

C:\Sergio\Keyboard-Docs-f-asoc\HARDCOPY\SM-ENT.BUS